



27123

PATENT TRADEMARK OFFICE

Docket No. 1232-4756

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): UNNO, et al.

Group Art Unit: 1604

Serial No.: 09/934,947

Examiner:

Filed: August 22, 2001

For: OPTICAL ELEMENT AND MANUFACTURING METHOD THEREFOR

**RESPONSE TO "NOTICE TO FILE MISSING PARTS"**

Commissioner of Patents  
Washington, D.C. 20231

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION--  
FILING DATE GRANTED dated October 3, 2001, Applicant(s) submit(s) herewith the  
following documents for appropriate action by the U.S. Patent and Trademark Office:


- ☒ Copy of Notice to File Missing Parts
- ☒ Executed Declaration
- ☒ Application Filing Fees check in the amount of \$130.00
- ☐
- ☐ Please charge the required fee of \$ \_\_\_\_\_ to deposit account no. 13-4500, Order No. \_

☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1232-4756. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: December 3, 2001

By:

  
Joseph A. Calvaruso  
Registration No. 28,287

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**EXPRESS MAIL CERTIFICATE**

Express Mail Label No.: **EL 853251183 US**

Date of Deposit: **December 3, 2001**

I hereby certify that the following attached paper(s) and/or fee

1. Response to Notice to File Missing Parts with Declaration
2. Copy of Notice to File Missing Parts
3. Check in the amount of \$130.00
4. Return Receipt Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, 2900 Crystal Dr., Arlington, VA 22202-3513.

Albert Isles

(Typed or printed name of person mailing papers(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/934,947	08/22/2001	Yasuyuki Unno	1232-4756

CONFIRMATION NO. 8874

27123  
MORGAN & FINNEGAN, L.L.P.  
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NEW YORK, NY 10154

## FORMALITIES LETTER



\*OC000000006838102\*

Date Mailed: 10/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/10/2001 BSAYASI1 00000126 09934947

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2001 OCT 10 P 2:59  
MORGAN & FINNEGAN LLP